

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Johnson *et al.*

Attorney Docket No.: INVDP001

Application No.: 09/689,548

Examiner: Not Assigned

Filed: October 11, 2000

Group: 1743

Title: HYBRID VALVE APPARATUS AND
METHOD FOR FLUID HANDLING**Certificate of Facsimile**

I hereby certify that this correspondence is being transmitted by facsimile to the United States Patent and Trademark Office, Deposit Account Refunds, Fax No. (703) 308-5077, Washington, DC 20231 on: March 13, 2001.

Signed:



Joyce L. Ferreira

REQUEST FOR REFUND

Assistant Commissioner for Patents
Attn: Deposit Account inquiries
Washington, D.C. 20231

Dear Sir:

Applicants previously made a request to refund the above-identified application in the amount of \$773 in view of the filing fees charged to the attorney of record's deposit account. That request was filed on February 7, 2001, (copy enclosed). However, in responding to the Notice to File Missing Parts, it seems that the filing fees were, again, paid in this matter, thus making the overall filing fees paid in this application \$2,384.00. Applicants would like to request that the filing fees in the amount of \$355, \$378, and \$40 (totaling \$773) paid on February 7, 2001 be refunded to deposit account 50-0388 (Order No. INVDP001) since those fees were already debited from deposit account 50-0388 on October 18, 2001. Please find attached a copy of the check as submitted with the response to the Missing Parts, as well as a copy of the Missing Parts Notice (PTO Form 1533) showing that there is a balance due of \$130 (which is the large entity missing parts surcharge). The balance due in response to the Notice to File Missing Parts was erroneously overlooked when filing the response. Applicants earnestly request that refund in the amount of \$773 (as well as the refund requested on February 7, 2001) be credited to deposit account 50-0388 (Order No. INVDP001).

Respectfully submitted,
BEYER WEAVER & THOMAS, LLP



Michael L. Louie
Reg. No. 36,988

Adjustment date: 03/30/2001 R#ARIS1 00000027 000388 09689548
10/13/2000 R#ARIS1 00000027 000388 09689548
01 FC:101 710.00 CR
02 FC:102 80.00 CR
03 FC:103 756.00 CR

P.O. Box 778
Berkeley, CA 94704-0778

Adjustment Date: 03/30/2001 R#ARIS1 00000027 000388 09689548
10/10/2000 R#ARIS1 00000027 000388 09689548
01 FC:101 710.00 CR
02 FC:102 80.00 CR
03 FC:103 756.00 CR

*COPY****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE*****PATENT**In re application of: Johnson *et al.*

Attorney Docket No.: INVDP001

Application No.: 09/689,548

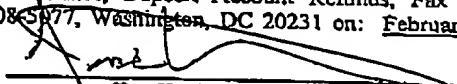
Examiner: Not Assigned

Filed: October 11, 2000

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Signed: 

Tara Hayden

NOTICE TO DEPOSIT ACCOUNT

Assistant Commissioner for Patents
Attn: Deposit Account inquiries
Washington, D.C. 20231

Dear Sir:

Please take note that when the above Applicant filed their application, they explicitly requested that the filing fees be "deferred" until receipt of the Notice to File Missing Parts. In reviewing the Notice to File Missing Parts and the Official Filing Receipt, it appears that the Patent Office has charged the attorney of record's deposit account in the amount of \$1,546.00, Control Nos. 56 for filing fee, 57 for individual claim, and 58 for additional claims, which is for large entity. Applicant is entitled to Small Entity Status under 37 C.F.R. §1.27. Applicant requests the refund of half of the filing fees charged to deposit account 50-0388 on October 18, 2000. Thus, Applicant is requesting a refund in the amount of \$773 to be credited to deposit account 50-0388 (Order No. INVDP001).

Respectfully submitted,
BEYER WEAVER & THOMAS, LLP



Michael L. Louie
Reg. No. 36,988

P.O. Box 778
Berkeley, CA 94704-0778

Copy



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE RECD	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/689,548	10/11/2000	1743	1546	INVDP001	17	62	4

22434
 BEYER WEAVER & THOMAS LLP
 P.O. BOX 778
 BERKELEY, CA 94704-0778

#773

FILING RECEIPT



0000000005599004

Date Mailed: 12/06/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

James E. Johnson, Sebastopol, CA ;
 Neil R. Picha, Petaluma, CA ;
 Mitchel J. Doktycz, Knoxville, TN ;

Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 12/05/2000

Title

Hybrid valve apparatus and method for fluid handling

Preliminary Class

422

Data entry by : DILLON, LAWANDA

Team : OIPE

Date: 12/06/2000



COPY

UNITED STATES PATENT AND TRADEMARK OFFICE

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 WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/689,548	10/11/2000	James E. Johnson	INVDP001

22434
 BEYER WEAVER & THOMAS LLP
 P.O. BOX 778
 BERKELEY, CA 94704-0778

FORMALITIES LETTER



OC00000005599005

Date Mailed: 12/06/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FLING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/689,548	10/11/2000	1743	2384	INVDP001	17	62	4

22434

BEYER WEAVER & THOMAS LLP
P.O. BOX 778
BERKELEY, CA 94704-0778

FILING RECEIPT



OC000000005785381

Date Mailed: 02/21/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

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Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 12/05/2000

** SMALL ENTITY **

Title

Hybrid valve apparatus and method for fluid handling

Preliminary Class

422

Data entry by : DILLON, LAWANDA

Team : OIPE

Date: 02/21/2001



FEB 28 2001

DAC
BERKELEY
SDB/mc

2/21/01